

FORM PTO-1390

U.S. Department of Commerce Patent and Trademark Office

Attorney's Docket No.

**TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371**

2923-680

U.S. Application No. (if known)

New Application **10/523643**INTERNATIONAL APPLICATION NO.
PCT/EP2003/009774INTERNATIONAL FILING DATE
September 3, 2003PRIORITY DATE CLAIMED
September 3, 2002

TITLE OF INVENTION

MINIBRAIN HOMOLOGOUS PROTEINS INVOLVED IN THE REGULATION OF ENERGY HOMEOSTASIS

APPLICANT(S) FOR DO/EO/US

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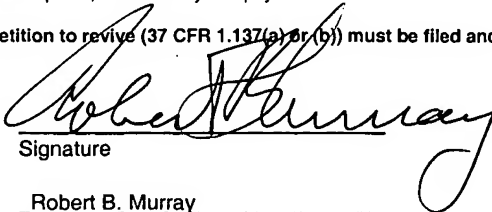
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☐ The US has been elected (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
 - b. ☒ has been communicated by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US)
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
 - a. ☐ is attached hereto.
 - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

ITEMS 11. TO 20. below concern other document(s) or information included:

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A preliminary amendment.
14. ☐ An Application Data Sheet under 37 CFR 1.76.
15. ☐ A substitute specification.
16. ☐ A power of attorney and/or change of address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821-1.825
18. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. ☒ Other items or information:

☒ RO/101 ☐ RO/105 ☒ ISA/210(ISR) ☐ IB/301 ☐ IB/304 ☐ IB/308 ☐ IB 401 ☐
 IB/409(IPER) ☐ IB/416 ☒ Published Application WO/ 2004/022089 A1

| | | | | | |
|--|--------------|--|------------|--|---------------------|
| U.S. APPLICATION NO. (If known) 10/523643 INTERNATIONAL APPLICATION NO. PCT/EP2003/009774 New Application | | | | ATTORNEY DOCKET NO. 2923-680 | |
| 21. The following fees are submitted: <input checked="" type="checkbox"/> Basic national Fee \$300.00 | | | | CALCULATIONS \$ 300 | PTO USE ONLY |
| 22. <input checked="" type="checkbox"/> Examination Fee If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4) \$100.00 All other situations \$200.00 | | | | \$ 200 | |
| 23. <input checked="" type="checkbox"/> Search Fee Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Search Authority \$100.00 International Search Report prepared and provided to the Office \$400.00 All other situations \$500.00 | | | | \$ 400 | |
| TOTAL OF 21, 22 AND 23 = | | | | \$ 900 | |
| <input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250.00 for each additional 50 sheets of paper or fraction thereof. | | | | \$ 0 | |
| Total Sheets | Extra Sheets | Number of each additional 50 or fraction thereof | Rate | | |
| - 100 = | / 50 = | | x 250 | \$ | |
| Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)). | | | | \$ | |
| Claims | Number Filed | Number Extra | Rate | | |
| Total Claims | 35 - 20 = | 15 | X \$50.00 | \$ 750 | |
| Independent Claims | 3 - 3 = | 0 | X \$200.00 | \$ 0 | |
| Multiple dependent claim(s) (if applicable) | | | + \$360.00 | \$ 0 | |
| TOTAL OF ABOVE CALCULATIONS = | | | | \$ 1,650 | |
| <input checked="" type="checkbox"/> Applicant claims small entity status. The fees indicated above are reduced by 1/2. | | | | \$ 825 | |
| SUBTOTAL = | | | | \$ 825 | |
| Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)). | | | | + | \$ 0 |
| TOTAL NATIONAL FEE = | | | | \$ 825 | |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property | | | | + | \$ 40 |
| TOTAL FEES ENCLOSED = | | | | \$ 865 | |
| | | | | Amount to be refunded | \$ |
| | | | | Amount to be charged | \$ |
| a. <input type="checkbox"/> A check in the amount of \$_____ to cover the above fees is enclosed. b. <input checked="" type="checkbox"/> Please charge my Deposit Account No. 02-2135 in the amount of \$ <u>825</u> to cover the above filing fees. A duplicate copy of this sheet is enclosed. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 02-2135. A duplicate copy of this sheet is enclosed. NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status. | | | | | |
| SEND ALL CORRESPONDENCE TO: Customer No. 06449 Rothwell, Figg, Ernst & Manbeck 1425 K St., N.W. Washington, D.C. 20005 Phone: 202/783-6040 | | | | | |
|  Signature Robert B. Murray Name 22,980 Registration Number | | | | | |